

## Conditions of participation and competition rules

### I. General/Organizer

The Digital Innovation Hub Photonics (DIHP) together with the Leistungszentrum Photonik, the joint transfer platform of the Fraunhofer Institute for Applied Optics and Precision Engineering IOF & the Institute for Applied Physics IAP of the Friedrich Schiller University Jena (with the associated partners Leibniz Institute for Photonic Technologies IPHT and Helmholtz Institute Jena HIJ) is organizing the competition "DIHP-Elevator-Pitch" (hereinafter: competition).

### II. Conditions of participation

#### 1. Participation

A team participates in the competition by filling out a declaration of participation including declaration of authorship and intellectual property for each team member and submitting a project outline by e-mail, cf. [www.innohub-photonics.de](http://www.innohub-photonics.de).

The submission of the competition documents including the declaration of participation must take place within the announced period, see [www.innohub-photonics.de](http://www.innohub-photonics.de).

#### 2. Who is eligible to participate?

Those interested in founding a company as well as small and medium-sized companies with up to 500 employees are eligible to participate. Members of the competition management and other persons involved in the organization of the competition as well as corporate groups are excluded from participation.

### III. Competition rules

#### 1. Competition principle/competition idea

The team or teams whose ideas have the greatest potential for successful research by the Photonics Performance Center or which can experience the greatest increase in potential through successful research by the Photonics Performance Center will be determined.

The contributions of the participants will be evaluated according to the following criteria:

- a) Topic & idea: Does the idea solve a (technologically, economically, socially) relevant problem? Overall: How viable is the basic idea?
- b) Team: Does the team combine the necessary competencies, experience and also the basic facilities (professional, technological, entrepreneurial and personal) to successfully develop and implement the idea in a start-up? Is there a possibility for the team to develop it?
- c) Exploitation / business model: Are relevant key technologies and competencies available? Are attractive customer groups addressed or is a special value proposition offered? Are the key distribution channels clearly presented? Is there an idea of how revenue could be generated?

d) Status of implementation and possible support: Is there a viable basic structure for the project, or is there relevant preliminary work on technologies, development, IP, etc.? Is it likely that the project will be successful within 5 years? Can DIHP and Leistungszentrum Photonik contribute significantly to the further development of the project?

## 2. Competition management

The organizers will appoint a competition management, which will supervise the entire course of the competition and make all decisions regarding the course of the competition. The competition management is under no obligation to publish or justify its decisions. There are no information rights of the contest participants whatsoever concerning the contest, neither towards the contest operators, nor towards the technical administrator or the contest management. All decisions of the contest management, such as the appointment of the winners, are final and cannot be appealed.

The contest can be cancelled by the contest management at any time without giving any reasons and without any claims for the participants.

## 3. Competition period

The application period ends on January 21, 2021 at 11:59 pm. The selected and rejected teams will be informed by email two days later whether they are invited to pitch their ideas at the virtual DIHP pitch event on the January 28, 2021.

## 4. Determination of the winner

The winner is the participant or team or teams whose ideas have the greatest potential for successful research and transfer.

## 5. Prize amount

The prize covers research achievements. The amount of the actual prize for each application will be decided only after the selection committee has made its decision.

## 6. Confidentiality

The organizer will treat the submitted competition entries confidentially. The competition entries may only be used by the organizer and the cooperation partners/the jury for the purpose of carrying out the competition. The obligation does not apply to such information which was already known to the public at the time of submission of the competition documents or which becomes known after receipt without culpable conduct of a participant. This obligation of confidentiality ends 3 years after submission of the competition entry.

#### 7. Severability clause

Should any provision of these Conditions of Participation be or become invalid in whole or in part, this shall not affect the validity of the rest of these Conditions of Participation. Instead of the invalid provision, the legally permissible provision that comes closest in economic terms to the meaning and purpose expressed in the invalid provision shall apply. The same shall apply in the event of a loophole in these Terms and Conditions of Participation.

#### 8. Legal recourse

Legal recourse is excluded. There is no legal claim to a prize.

#### 9. Subject to change

Competition rules and conditions of participation can be changed by the competition management at any time without separate notification. The changes of the contest rules and the conditions of participation will be published on the website [www.innohub-photonics.de](http://www.innohub-photonics.de). As of this announcement, the new contest rules and conditions of participation are binding for all participants.

### IV. Information on the use of data

#### Purpose of data processing

By registering and submitting the competition documents, the participant accepts the conditions of participation in the competition. The data you provide when registering will be processed exclusively for the purpose of conducting the competition.

#### Publications

Within the scope of the competition, participants agree in principle to the publication of the contact person, the short text and the submitted image/photo. Participants also agree to the publication of the images and video recordings they have created as part of the award. Any further use of the competition entry for purposes other than the implementation of the competition and for reviews of competitions that have already taken place is excluded without the express consent of the participant.

#### Legal basis for data processing

The legal basis for data processing is Art. 6 (1) b) DSGVO and the declarations of consent that you give us in this context. According to Art. 6 para. 1 b) DSGVO, personal data may be processed if this is necessary for the performance of a contract or for the implementation of pre-contractual measures. By agreeing to the conditions of participation, a contractual relationship is established. This includes the implementation of the competition as described in more detail in the conditions of participation. This requires, among other things, the involvement of the official partners in the implementation of the competition and the transfer of data to them. More details on our official partners can be found in the Conditions of Participation and below in the section "Categories of recipients".

The other personal data processed during the registration process (e.g. your anonymized IP address) is used to prevent misuse of the registration form and to ensure the security of our information technology systems. The processing is thus in our legitimate interest and is based on Art. 6 (1) f) DSGVO.

#### Categories of recipients

By accepting the terms and conditions of participation, each participant expressly authorizes the organizer to forward these to its employees, the persons commissioned by the organizer with the initial evaluation of the competition entries and the members of the expert panel commissioned with the final evaluation. The experts of the Panel shall be separately obliged by the Organizer to treat the Contest entries confidentially.

In case of submission by teams, all team members must sign, if necessary on separate forms. This form must be attached to the documents to be submitted for the competition.

## V. Further information

#### Prize conditions

The prize initially includes inclusion of the idea in the research agenda of the “Leistungszentrum Photonik” to the extent that the project topic with the submitted short title will be researched at the Leistungszentrum Photonik to the extent of the allocated research prize money. The benefit does not go directly to the sponsors of the project idea, but includes research services of the “Leistungszentrum Photonik”, which are implemented according to the usual conditions of the Fraunhofer-Gesellschaft. The team members of the project that won the award commit to support the research of their project at the “Leistungszentrum Photonik”.

#### Storage period

The data collected as part of the registration and implementation of the competition will be processed for the duration of the implementation of the competition until its complete settlement, including the fulfillment of the claims associated with the prize. Longer storage may result in the context of continued press and public relations work.

#### Right of objection and consequences

Participants may object to the use of their data at any time, free of charge and with effect for the future, in writing or by e-mail to the Organizer at [dihp@iof.fraunhofer.de](mailto:dihp@iof.fraunhofer.de).

The objection will result in the deletion of the application documents submitted by the objector up to this point in time and the application will no longer be considered for the award.

#### Your data subject rights

You can ask at any time whether and which of your data is stored by us. In addition, you have the right at any time to information about the data stored with regard to your person, correction, deletion, restriction of processing, objection to processing and data portability.

#### Your contact person/email address

You can contact our data protection officer directly at any time with questions about data protection law or your data subject rights. E-mail: [datenschutz@iof.fraunhofer.de](mailto:datenschutz@iof.fraunhofer.de); phone: +49 3641 807 100; fax: +49 3641 807 600. Fraunhofer's data protection officer can be reached at the above address, in the name of the data protection officer, or at [datenschutz@zv.fraunhofer.de](mailto:datenschutz@zv.fraunhofer.de).

#### Changes

We reserve the right to change the data protection declaration at any time in compliance with the applicable data protection regulations.